Extremal self-dual codes of Type I-IV 0 0000 Maximal self-orthogonal codes

Extremal maximal isotropic codes of Type I-IV

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Overview

1 Introduction

- 2 Extremal self-dual codes of Type I-IV
 - The classical Types I-IV
 - Extremality and a uniqueness result
- 3 Maximal self-orthogonal codes
 - Extremality for maximal self-orthogonal codes
 - A uniqueness result
 - Examples

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Extremal self-dual codes of Type I-IV 0 0000 Maximal self-orthogonal codes

Let \mathbb{F} be a finite field, $N \in \mathbb{N}$. A *code* of length N is a subspace $C \leq \mathbb{F}^N$.

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Let \mathbb{F} be a finite field, $N \in \mathbb{N}$. A *code* of length N is a subspace $C \leq \mathbb{F}^N$.

Let α be an automorphism of \mathbb{F} , of order 1 or 2. The *dual* of *C* is

$$\mathcal{C}^{\perp} := \{ \mathbf{v} \in \mathbb{F}^{\mathcal{N}} \mid \sum_{i=1}^{\mathcal{N}} \mathbf{v}_i \cdot \alpha(\mathbf{c}_i) = 0 \text{ for all } \mathbf{c} \in \mathbf{C} \},$$

which is, again, a code.

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The *(Hamming)* weight of $v \in \mathbb{F}^N$ is the number of nonzero entries in v, denoted by wt(v).

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Due to the linearity of *C*,

$$d(C) = \min_{c \neq c' \in C} |\{i \in \{1, \dots, N\} \mid c_i \neq c'_i\}|.$$

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Using *C*, one can

• detect up to d(C) - 1 errors,

• correct up to
$$\lfloor \frac{d(C)-1}{2} \rfloor$$
 errors.

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Extremal self-dual codes of Type I-IV

Maximal self-orthogonal codes

The classical Types I-IV

Theorem (Gleason, Pierce 1967)

Let $C = C^{\perp} \leq \mathbb{F}_q^N$ and let $m \in \mathbb{N}$ such that $wt(c) \in m\mathbb{Z}$ for all $c \in C$. Then one of the following holds.

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(I) q = 2 and m = 2 (self-dual binary codes),

(II) q = 2 and m = 4 (doubly-even self-dual binary codes)

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Theorem (Gleason, Pierce 1967) Let $C = C^{\perp} \leq \mathbb{F}_q^N$ and let $m \in \mathbb{N}$ such that wt $(c) \in m\mathbb{Z}$ for all $c \in C$. Then one of the following holds. (I) q = 2 and m = 2 (self-dual binary codes), (II) q = 2 and m = 4 (doubly-even self-dual binary codes), (III) q = 3 and m = 3 (self-dual ternary codes), (IV) q = 4 and m = 2 (quaternary Hermitian self-dual codes)

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Theorem (Gleason, Pierce 1967) Let $C = C^{\perp} \leq \mathbb{F}_{a}^{N}$ and let $m \in \mathbb{N}$ such that wt(c) $\in m\mathbb{Z}$ for all $c \in C$. Then one of the following holds. (1) q = 2 and m = 2 (self-dual binary codes). (II) q = 2 and m = 4 (doubly-even self-dual binary codes), (III) q = 3 and m = 3 (self-dual ternary codes), (IV) q = 4 and m = 2 (quaternary Hermitian self-dual codes), (o) q = 4 and m = 2 (certain Euclidean self-dual codes). (d) m = 2 and $C \cong \perp^{N/2} (1, a)$, where either q is even and a = 1 or $q \equiv 1 \pmod{4}$ and $a^2 = -1$ or α has order 2 and $\mathbf{a} \cdot \alpha(\mathbf{a}) = -\mathbf{1}.$

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Maximal self-orthogonal codes

Extremality and a uniqueness result

The first four Types in the previous theorem are named I-IV.

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The first four Types in the previous theorem are named I-IV.

Theorem Let $T \in \{I, ..., IV\}$ and let C be a self-dual Type T code of length N. Then $d(C) \le \delta(T, N)$, where

$$\delta(T, N) := \begin{cases} 2 + 2\lfloor \frac{N}{8} \rfloor, & T = \mathsf{I} \\ 4 + 4\lfloor \frac{N}{24} \rfloor, & T = \mathsf{II} \\ 3 + 3\lfloor \frac{N}{12} \rfloor, & T = \mathsf{III} \\ 2 + 2\lfloor \frac{N}{6} \rfloor, & T = \mathsf{IV}. \end{cases}$$

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If d(C) reaches the above bound then C is called *extremal*.

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Extremality and a uniqueness result

We can read off d(C) from the (Hamming) weight enumerator

$$\mathsf{we}(\mathcal{C}) := \sum_{c \in \mathcal{C}} y^{\mathsf{wt}(c)} x^{N-\mathsf{wt}(c)} \in \mathbb{C}[x, y],$$

a homgeneous complex polynomial of degree *N* which counts the codewords of each Hamming weight.

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If C has minimum weight d then we(C) is of the form

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If C has minimum weight d then we(C) is of the form

$$x^{N} + a_{d}y^{d}x^{N-d}$$

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If C has minimum weight d then we(C) is of the form

$$x^N + a_d y^d x^{N-d} + \ldots + a_N y^N.$$

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Extremality and a uniqueness result

Theorem If *C* is a self-dual Code of Type I, II, III or IV then $we(C) \in \mathbb{C}[f_T, g_T]$ according to the table below.

| Т | f _T | gт |
|-----|---|---|
| I | $\frac{x^2 + y^2}{i_2}$ | $x^2y^2(x^2-y^2)^2$ Hamming code e_8 |
| II | $x^8 + 14x^4y^4 + y^8$ Hamming code e_8 | $x^4y^4(x^4-y^4)^4$ binary Golay code g_{24} |
| 111 | $x^4 + 8xy^3$ tetracode t_4 | $y^3(x^3 - y^3)^3$ ternary Golay code g_{12} |
| IV | $\begin{array}{c} x^2 + 3y^2 \\ i_2 \otimes \mathbb{F}_4 \end{array}$ | $y^2(x^2 - y^2)^2$ hexacode h_6 |

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Extremality and a uniqueness result

Fix an integer N and a Type $T \in \{I, ..., IV\}$ and let $\delta := \delta(T, N)$.

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Extremality and a uniqueness result

Fix an integer *N* and a Type $T \in \{I, ..., IV\}$ and let $\delta := \delta(T, N)$. There exists a *unique* element in $\mathbb{C}[f_T, g_T]$ of the form

$$x^{N} + a_{\delta} y^{\delta} x^{N-\delta} + \cdots + a_{N} y^{N},$$

where $a_i \in \mathbb{Q}$ for $i = 1, \ldots, N$.

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Corollary The weight enumerator of an extremal self-dual code of Type I-IV is unique.

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The length of a self-dual Type T code, $T \in \{I, \dots, IV\}$, is always a multiple of

 $o_T := \deg(f_T) = \min(\{\deg(f_T), \deg(g_T)\}).$

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| T | I II | | | IV | |
|----------------|------|---|---|----|--|
| o _T | 2 | 8 | 4 | 2 | |

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Now assume that *N* is no multiple of o_T .

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Consider *maximal self-orthogonal* (m. s.-o.) codes, i.e. $C \subseteq C^{\perp}$ and if $C \subseteq D$ for a code $D \subseteq D^{\perp}$, then C = D.

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Extremality for maximal self-orthogonal codes

Theorem Let C be a m. s.-o. Type II code of length $N \equiv 7 \pmod{8}$. Then $d(C^{\perp}) \leq 3 + 4\lfloor \frac{N+1}{24} \rfloor$.

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Proof.

Assume that $d(C^{\perp}) \ge 4 + 4\lfloor \frac{N+1}{24} \rfloor$.

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Let $E = \begin{pmatrix} C & 0 \\ v & 1 \end{pmatrix} \leq \mathbb{F}_2^{N+1}$. Then $E = E^{\perp}$ is Type II, and

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. Then $E = E^{\perp}$ is Type II, and

■ $d(E) \ge 4 + 4\lfloor \frac{N+1}{24} \rfloor$, hence *E* is extremal (i.e. equality holds). Thus the words in *E* of weight d(E) hold a design.

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■ {
$$e \in E$$
 | wt(e) = $d(E$)} = {($c \ 0$) | $c \in C^{\perp}$, wt(c) = $d(E$)}.

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■ $d(E) \ge 4 + 4\lfloor \frac{N+1}{24} \rfloor$, hence *E* is extremal (i.e. equality holds). Thus the words in *E* of weight d(E) hold a design.

■
$$\{e \in E \mid wt(e) = d(E)\} = \{(c \ 0) \mid c \in C^{\perp}, wt(c) = d(E)\}.$$

This is a contradiction, hence $d(C^{\perp}) \leq 3 + 4\lfloor \frac{N+1}{24} \rfloor$.

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Extremality for maximal self-orthogonal codes

Theorem Let $T \in \{I, ..., IV\}$ and let C be a maximal self-orthogonal Type T code of length N. Then $d(C^{\perp}) \leq \delta(T, N)$, where $\delta(T, N)$ is given in the table below.

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Definition

A m. s.-o. code whose minimum distance reaches the above bound is called *dual extremal*.

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Extremality for maximal self-orthogonal codes

| Т | N | $\delta(T, N)$ | Т | N | $\delta(T, N)$ |
|---|-----------------------------|--|-----|----------------|-------------------------------------|
| I | <i>N</i> ≢ ₂₄ 23 | $\delta(\mathbf{I}, \mathbf{N} + 1)$ | | 20 (24) | <u>N+4</u> |
| | 23 (24) | $3+4\lfloor \frac{N}{24} \rfloor$ | | 21 (24) | $5+4\lfloor \frac{N}{24} \rfloor$ |
| | 1, 9 or 17 (24) | $1 + \lfloor \frac{N}{24} \rfloor + 3 \lfloor \frac{N+7}{24} \rfloor$ | | 22 (24) | $6+4\lfloor \frac{N}{24} \rfloor$ |
| | 2 (24) | $\lfloor \frac{N+8}{6} \rfloor$ | | 23 (24) | $7+4\lfloor \frac{N}{24} \rfloor$ |
| | 3,11 or 19 (24) | $1 + 2\lfloor \frac{N}{24} \rfloor + \lfloor \frac{N+5}{24} \rfloor + \lfloor \frac{N+13}{24} \rfloor$ | | 1, 5 or 9 (12) | $3 + 3\lfloor \frac{N}{12} \rfloor$ |
| | 4 (24) | <u>N+8</u> | | 2 (12) | $1 + 3\lfloor \frac{N}{12} \rfloor$ |
| Ш | 5 (24) | $1 + 4\lfloor \frac{N}{24} \rfloor$ | ш | 3, 6 or 7 (12) | $2+3\lfloor \frac{N}{12} \rfloor$ |
| | 6 (24) | $2+4\lfloor \frac{N}{24} \rfloor$ | | 10 (12) | $4 + 3\lfloor \frac{N}{12} \rfloor$ |
| | 7, 13, 14 or 15 (24) | $3+4\lfloor \frac{N}{24} \rfloor$ | | 11 (12) | $5+3\lfloor \frac{N}{12} \rfloor$ |
| | 10 or 18 (24) | $1 + \lfloor \frac{N}{8} \rfloor + \lfloor \frac{N+8}{24} \rfloor$ | IV/ | 1 or 3 (6) | $1+2\lfloor \frac{N}{6} \rfloor$ |
| | 12 (24) | <u>N</u> 6 | | 5 (6) | $3+2\lfloor \frac{N}{6} \rfloor$ |

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Extremal self-dual codes of Type I-IV 0 0000 Maximal self-orthogonal codes

A uniqueness result

Theorem

The Hamming weight enumerator of a dual extremal m. s.-o. code of Type II, III or IV is uniquely determined.

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Extremal self-dual codes of Type I-IV o Maximal self-orthogonal codes ○●○ ○

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Remark The space I_k^T is a module for $\mathbb{C}[f_T, g_T]$.

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Remark The space I_k^T is a module for $\mathbb{C}[f_T, g_T]$.

Theorem The $\mathbb{C}[f_T, g_T]$ -module I_k^T is free and finitely generated.

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The $\mathbb{C}[f_T, g_T]$ -module I_k^T is free and finitely generated. Bases for the $\mathbb{C}[f_T, g_T]$ -module I_k^T are given in the book "Self-dual codes and invariant theory" by Nebe, Rains and Sloane. There exists a *triangular* basis p_0, \ldots, p_r of

 $(I_k^T)_N := \{ p \in I_k^T \mid p \text{ homogeneous of degree } N \},\$

for every integer $N \equiv k \pmod{o_T}$.

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A uniqueness result

 $p_i(1, y) = c_i^{(0)} y^0 + \ldots + c_i^{(N)} y^N$

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A uniqueness result



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Examples

If $T \in \{II, III, IV\}$ and $N \equiv -1 \pmod{\sigma_T}$ then puncturing an extremal self-dual code of length N + 1 yields the dual of a dual extremal m. s.-o. code of length N.

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This is false for T = I and N = 17, e.g. ($\delta(I, 18) = 4 = \delta(1, 17)$).

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Puncturing C at a particular position yields the dual of a dual extremal [17,8] code.

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- Puncturing C at a particular position yields the dual of a dual extremal [17, 8] code.
- Puncturing D at any position yields codes of minimum weight 3.

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